MITIGATING SELF-PROPAGATING E-MAIL VIRUSES

BACKGROUND OF THE INVENTION

5   **1.   Technical Field:**

[0001]   The present invention relates in general to improved electronic mail systems and in particular to mitigating self-propagating electronic mail viruses. Still more particularly, the present invention relates to mitigating self-propagating electronic mail viruses by requiring a

10   sender to provide additional authorization for sending an electronic mail containing a file attachment if the number of intended recipients exceeds a maximum limit of recipients for an electronic mail with file attachment.

2.   Description of the Related Art:

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[0002]   A "computer virus" is a program designed to infiltrate computer files and other sensitive areas on a computer. Often, the purpose of a virus is to compromise the computer's security. For example, a virus may erase or damage data stored on the computer or stored on network file servers accessible to the computer. In another example, a virus may obtain and

20   forward sensitive information without the computer user's permission.

[0003]   Viruses are often spread when computer users send infected files to other

computer users via electronic mail (e-mail), however viruses may also spread when infected files

are copied from one computer to another via a network. Some e-mail viruses are capable of

spreading from computer to computer with little or no intervention on the part of the computer

user. These viruses are designed to self-propagate by creating an e-mail message from the

5   infected party that is then sent to each e-mail address within the infected party's address book.

Within the network implemented by a particular business, it is common that the e-mail address

book for each employee contain e-mail addresses for all other employees. A self-propagating e-

mail virus can spread rapidly and broadly if it reaches one employee within such a system.

Another capability of a self-propagating e-mail virus is to attach or embed a file from the infected

10   system, destroying the security of the files stored on the system by unauthorized distributions.

Further, the e-mail virus often attaches itself to a file and infects the computer on which the file

is opened.

[0004]   The standard approach to protecting against computer viruses is to detect their

presence on a computer or network using a virus scanner. Virus scanners provide some

15   protection, however, most virus scanners require constant updates and virus scanners may not

catch a new virus before the update is available. Thus, it is advantageous to create multiple

layers of security in addition to a virus scanner that looks for known viruses.

[0005]   Within the multiple layers of security, there is a need to find ways to disrupt the

spread of self-propagating e-mail viruses. Since self-propagating e-mail viruses often send an

20   infected e-mail to more than one recipient, there is a need to disrupt the propagation by detecting

when more than a maximum number of recipients are selected to receive an e-mail. In particular,

since such self-propagating e-mail viruses often embed themselves within an attachment or

attach a file that is not intended for distribution, there is a need to specify a maximum number of

recipients for an e-mail containing an attachment or a copy of a file from a sender. Therefore, it

would be advantageous to provide a method, system, and program for scanning e-mails before

5   they are sent and requiring an additional sender authorization if the e-mail with file attached is

addresses to more recipients than a set limit of addresses per e-mail with file attached.

SUMMARY OF THE INVENTION

[0006]   In view of the foregoing, it is therefore an object of the present invention to
provide improved e-mail systems.

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[0007]   It is another object of the present invention to provide a method, system and
program for mitigating the propagation of e-mail viruses.

[0008]   It is yet another object of the present invention to provide a method, system and

10   program for mitigating the propagation of e-mail viruses by requiring a sender to provide
additional authorization for sending an e-mail containing a file attachment if the number of
intended recipients exceeds a maximum limit of recipients for a file attached e-mail.

[0009]   According to one aspect of the present invention, a request to send an electronic

15   mail message with a file attachment to intended recipients is received. A characteristic of the
intended recipients is compared with a maximum recipient limit for the file attachment. If the
characteristic for the intended recipients exceeds the maximum recipient limit for the file
attachment, then a sender authorization is requested prior to sending the electronic mail message.
The sender authorization is required such that if a virus is attempting to self-propagate by

20   sending the electronic mail message, the attempt is mitigated.

[0010]   Additionally, characteristic of the intended recipients are compared with a maximum recipient limit for a single electronic mail message. Then, if the characteristic of the intended recipients exceed the maximum recipient limit for a single electronic mail message, a sender authorization is also requested prior to sending the electronic mail message.

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[0011]   The maximum recipient limits may be specified per file or may be specified for all files. Maximum recipient limits may be specified by a percentage of the addresses within the address book or a percentage of the addresses within a particular category of the address book. In addition, maximum recipient limits may be a fixed numerical limit. The maximum recipient

10   limits may be based on the total number of intended recipients, a selection of the intended recipients, or those intended recipients also included in the address book. The characteristic of the intended recipients is determined based on the type of values specified by the maximum recipient limits.

15   [0012]   According to one aspect of the present invention, the sender authorization is a request for the sender to enter a password authorizing the electronic mail message to be sent. Alternatively, the sender authorization is a request for the sender to enter some type of manual input authorizing the electronic mail message to be sent.

20   [0013]   According to another aspect of the present invention, if a sender does not authorize the electronic mail message to be sent, the electronic mail message is blocked.

Additionally, an alert is preferably sent to the network administrator or other system monitoring when a sender blocks an electronic mail message from being sent.

[0014] All objects, features, and advantages of the present invention will become apparent in the following detailed written description.

BRIEF DESCRIPTION OF THE DRAWINGS

[0015]   The novel features believed characteristic of the invention are set forth in the

appended claims. The invention itself however, as well as a preferred mode of use, further

5   objects and advantages thereof, will best be understood by reference to the following detailed

description of an illustrative embodiment when read in conjunction with the accompanying

drawings, wherein:

[0016]   **Figure 1** is a block diagram depicting a computer system in which the present

10   method, system, and program may be implemented;

[0017]   **Figure 2** is a block diagram depicting a distributed network system in

accordance with the method, system, and program of the present invention;

15   [0018]   **Figure 3** is a block diagram depicting an e-mail client in accordance with the

method, system, and program of the present invention;

[0019]   **Figure 4** is a block diagram depicting an address book in accordance with the

method, system, and program of the present invention;

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[0020]   **Figure 5** is a block diagram depicting mitigation settings in accordance with the

method, system, and program of the present invention;

[0021]   **Figure 6** is a pictorial illustration of an e-mail with a file attachment to which the present invention is applicable;

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[0022]   **Figure 7** is a pictorial illustration of an e-mail to which the present invention is applicable;

[0023]   **Figure 8** is a pictorial illustration of an authorization window in accordance

10   with the method, system, and program of the present invention; and

[0024]   **Figure 9** is a high level logic flowchart of a process and program for mitigating e-mail virus transmissions in accordance with the method, system, and program of the present invention.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

[0025]   Referring now to the drawings and in particular to **Figure 1**, there is depicted

one embodiment of a computer system in which the present method, system, and program may be

5   implemented. The present invention may be executed in a variety of systems, including a variety

of computing systems and electronic devices under a number of different operating systems. In

general, the present invention is executed in a computer system that performs computing tasks

such as manipulating data in storage that is accessible to the computer system. In addition, the

computer system includes at least one output device and at least one input device.

10   [0026]   In one embodiment, computer system **10** includes a bus **22** or other

communication device for communicating information within computer system **10**, and at least

one processing device such as processor **12**, coupled to bus **22** for processing information. Bus

22 preferably includes low-latency and higher latency paths that are connected by bridges and

adapters and controlled within computer system **10** by multiple bus controllers. When

15   implemented as a server system, computer system **10** typically includes multiple processors

designed to improve network servicing power.

[0027]   Processor **12** may be a general-purpose processor such as IBM's PowerPC™

processor that, during normal operation, processes data under the control of operating system and

application software accessible from a dynamic storage device such as random access memory

20   (RAM) **14** and a static storage device such as Read Only Memory (ROM) **16**. The operating

system preferably provides a graphical user interface (GUI) to the user. In a preferred

embodiment, application software contains machine executable instructions that when executed

on processor **12** carry out the operations depicted in the flowchart of **Figure 9,** and others

described herein. Alternatively, the steps of the present invention might be performed by specific

hardware components that contain hardwired logic for performing the steps, or by any

5   combination of programmed computer components and custom hardware components.

[0028]   The present invention may be provided as a computer program product, included

on a machine-readable medium having stored thereon the machine executable instructions used

to program computer system **10** to perform a process according to the present invention. The

term "machine-readable medium" as used herein includes any medium that participates in

10   providing instructions to processor **12** or other components of computer system **10** for execution.

Such a medium may take many forms including, but not limited to, non-volatile media, volatile

media, and transmission media. Common forms of non-volatile media include, for example, a

floppy disk, a flexible disk, a hard disk, magnetic tape or any other magnetic medium, a compact

disc ROM (CD-ROM) or any other optical medium, punch cards or any other physical medium

15   with patterns of holes, a programmable ROM (PROM), an erasable PROM (EPROM),

electrically EPROM (EEPROM), a flash memory, any other memory chip or cartridge, or any

other medium from which computer system **10** can read and which is suitable for storing

instructions. In the present embodiment, an example of a non-volatile medium is mass storage

device **18** which as depicted is an internal component of computer system **10**, but will be

20   understood to also be provided by an external device. Volatile media include dynamic memory

such as RAM **14**. Transmission media include coaxial cables, copper wire or fiber optics,

including the wires that comprise bus **22**. Transmission media can also take the form of acoustic

or light waves, such as those generated during radio frequency or infrared data communications.

[0029]   Moreover, the present invention may be downloaded as a computer program

product, wherein the program instructions may be transferred from a remote computer such as a

5   server **40** to requesting computer system **10** by way of data signals embodied in a carrier wave or

other propagation medium via a network link **34** (e.g., a modem or network connection) to a

communications interface **32** coupled to bus **22**. Communications interface **32** provides a two-

way data communications coupling to network link **34** that may be connected, for example, to a

local area network (LAN), wide area network (WAN), or as depicted herein, directly to an

10   Internet Service Provider (ISP) **37**. In particular, network link **34** may provide wired and/or

wireless network communications to one or more networks.

[0030]   ISP **37** in turn provides data communication services through network **102**.

Network **102** may refer to the worldwide collection of networks and gateways that use a

particular protocol, such as Transmission Control Protocol (TCP) and Internet Protocol (IP), to

15   communicate with one another. ISP **37** and network **102** both use electrical, electromagnetic, or

optical signals that carry digital data streams. The signals through the various networks and the

signals on network link **34** and through communication interface **32**, which carry the digital data

to and from computer system **10**, are exemplary forms of carrier waves transporting the

information.

20   [0031]   When implemented as a server system, computer system **10** typically includes

multiple communication interfaces accessible via multiple peripheral component interconnect

(PCI) bus bridges connected to an input/output controller. In this manner, computer system **10**

allows connections to multiple network computers.

[0032] Further, multiple peripheral components may be added to computer system **10,**

connected to multiple controllers, adapters, and expansion slots coupled to one of the multiple

5   levels of bus **22**. For example, an audio input/output **28** is connectively enabled on bus **22** for

controlling audio input through a microphone or other sound or lip motion capturing device and

for controlling audio output through a speaker or other audio projection device. A display **24** is

also connectively enabled on bus **22** for providing visual, tactile or other graphical representation

formats. A keyboard **26** and cursor control device **30**, such as a mouse, trackball, or cursor

10   direction keys, are connectively enabled on bus **22** as interfaces for user inputs to computer

system **10**. In alternate embodiments of the present invention, additional input and output

peripheral components may be added.

[0033] Those of ordinary skill in the art will appreciate that the hardware depicted in

Figure 1 may vary depending on the implementation. Furthermore, those of ordinary skill in the

15   art will appreciate that the depicted example is not meant to imply architectural limitations with

respect to the present invention. For example, computer system **10** may take the form of a

personal digital assistant device (PDA), a web appliance, a kiosk, or a telephone.

[0034] With reference now to **Figure 2**, a block diagram depicts a distributed network

20   system in accordance with the method, system, and program of the present invention.

Distributed data processing system **100** is a network of computers in which the present invention

may be implemented. Distributed data processing system **100** contains a network **102**, which is

the medium used to provide communications links between various devices and computers

connected together within distributed data processing system **100**. Network **102** may include

permanent connections such as wire or fiber optics cables, temporary connections made through

5   telephone connections and wireless transmission connections.

[0035]   In the depicted example, servers **104** and **105** are connected to network **102**. In

addition, clients **108** and **110** are connected to network **102** and provide a user interface through

input/output (I/O) devices **109** and **111**. Clients **108** and **110** may be, for example, personal

computers or network computers. For purposes of this application, a network computer is any

10   computer coupled to a network, which receives a program or other application from another

computer coupled to the network.

[0036]   The client/server environment of distributed data processing system **100** is

implemented within many network architectures. For example, the architecture of the World

Wide Web (the Web) follows a traditional client/server model environment. The terms "client"

15   and "server" are used to refer to a computer's general role as a requester of data (the client) or

provider of data (the server). In the Web environment, web browsers such as Netscape

Navigator™ typically reside on client systems **108** and **110** and render Web documents (pages)

served by a web server, such as servers **104** and **105**. Additionally, each of client systems **108**

and **110** and servers **104** and **105** may function as both a "client" and a "server" and may be

20   implemented utilizing a computer system such as computer system **10** of **Figure 1**. Further,

while the present invention is described with emphasis upon servers **104** and **105** enabling

downloads or communications, the present invention may also be performed by client systems

108 and **110** engaged in peer-to-peer network communications and downloading via network

102.

[0037]   The Web may refer to the total set of interlinked hypertext documents residing

5   on servers all around the world. Network **102**, such as the Internet, provides an infrastructure for

transmitting these hypertext documents between client systems **108** and **110** and servers **104** and

105. Documents (pages) on the Web may be written in multiple languages, such as Hypertext

Markup Language (HTML) or Extensible Markup Language (XML), and identified by Uniform

Resource Locators (URLs) that specify the particular web page server from among servers, such

10   as server **104** and pathname by which a file can be accessed, and then transmitted from the

particular web page server to an end user utilizing a protocol such as Hypertext Transfer Protocol

(HTTP) or file-transfer protocol (FTP). Web pages may further include text, graphic images,

movie files, and sounds, as well as Java applets and other small embedded software programs

that execute when the user activates them by clicking on a link. In particular, multiple web pages

15   may be linked together to form a web site. The web site is typically accessed through an

organizational front web page that provides a directory to searching the rest of the web pages

connected to the web site. While network **102** is described with reference to the Internet,

network **102** may also operate within an intranet or other available networks.

[0038]   Additionally, servers **104** and **105** may serve as communication hosts for

20   transferring communications between clients **108** and **110**. For example, servers **104** and **105**

may serve as communication hosts for e-mail communication between clients **108** and **110**. For

example, client **108** may send a message intended for a recipient using client **110**. Server **104**

functions as an e-mail server for client **110** and stores the e-mail until client **110** requests the e-

mail originating from client **108**. For purposes of illustration, the examples following are

implemented using e-mail communications, however, other types communications may be used

5   to implement the present invention including, but not limited to, instant messaging, text

messaging, chatting, video conferencing and any other form of communication made available

via network **102**.

[0039]   With reference now to **Figure 3**, there is depicted a block diagram of an e-mail

10   client in accordance with the method, system, and program of the present invention. As

illustrated, an e-mail client **300** includes an e-mail reader **304** and mail daemon **306**.

[0040]   E-mail reader **304** also allows a user to compose, file, search and read e-mail.

Mail daemon **306** receives e-mail intended for the user of e-mail client **300** and stores the e-mail

in message folders **310**. A virus attached to a received e-mail stored in message folders **310** may

15   attempt to compose an e-mail through e-mail reader **304**, while posing as the user. The virus

selects addresses for intended recipients of the virus-composed e-mail from an address book **312**.

Address book **312** is typically a database for storing e-mail addresses and contact information.

[0041]   E-mail reader **304** gives mail daemon **306** messages to send to specified

intended recipients. Mail daemon **306** uses simple mail transfer protocol (SMTP) running over

20   TCP via the network to transmit the message to a mail daemon running on another machine,

typically the mail server, that puts the message into a mailbox where it is retrievable by the

intended recipient.

[0042] It is an advantageous to scan an e-mail before the e-mail is sent by mail daemon

306 to stop the transmission of an e-mail containing a virus. In order to reduce transmission of

viruses, it is advantageous to apply multiple layers of security. One of these layers of security is

5   implemented through virus mitigation controller **302** included in e-mail client **300**.

[0043] Virus mitigation controller **302** scans each e-mail to be sent before the e-mail is

given to mail daemon **306**. Virus mitigation controller **302** first determines the number of

intended recipient addresses in the e-mail and other characteristics of the intended recipients.

Next, virus mitigation controller **302** determines whether there is a file attachment or a file

10   embedded in the e-mail. Thereafter, virus mitigation controller **302** will compare the number of

intended recipient addresses and other characteristics with multiple mitigation settings stored in

memory as mitigation settings file **308**. If, for example, the number of intended recipient

addresses in the e-mail exceeds the mitigation settings for the type of e-mail, then the e-mail is

not passed to mail daemon **306** unless the user authorizes the e-mail to be sent. A blocked e-mail

15   is stored in message folder **310** and an alert is initiated by virus mitigation controller **302** to a

network administrator or other service that monitors potential viruses.

[0044] In one embodiment of the present invention, the components described within e-

mail client **300** are accessible within a single computer system. However, in alternate

embodiments of the present invention, the components described within e-mail client **300** are

20   accessible via multiple computer systems across a distributed network system.

[0045]   Referring now to **Figure 4**, there is illustrated a block diagram of the elements

of an address book in accordance with the method, system, and program of the present invention.

As depicted, address book **312** of e-mail client **300** in **Figure 3** provides a database of stored e-

mail addresses and other addressing information. For purposes of illustration, address book **312**

5   sorts e-mail address in three groups: business addresses **402**, friend addresses **404** and family

addresses **406**. It will be understood that any type of database structure may be utilized by

address book **312** to sort and store e-mail addresses. For purposes of example, a selection of the

e-mail addresses stored in business addresses **402** are depicted at reference numeral **408**.

10   [0046]   With reference now to **Figure 5**, there is depicted a block diagram of the

mitigation settings file in accordance with the method, system, and program of the present

invention. As illustrated, mitigation settings file **308** of e-mail client **300** in **Figure 3** provides a

database of stored mitigating settings. In one embodiment, mitigation settings file **308** includes

two types of settings: recipients per file settings **504** and recipients per message settings **506**. In

15   alternate embodiments, other types of settings may be implemented. Further, in addition to user

specified settings, default settings may be included in mitigation settings file **308**.

[0047]   For purposes of example, a selection of user designated settings stored as

recipients per file settings **504** is depicted at reference numeral **508**. Recipients per file settings

504 includes settings associated with an e-mail to which a file is attached or within which a file is

20   embedded. In the selection depicted at reference numeral **508**, three examples of settings are

illustrated. The first two examples are maximum limits set based on percentages. First, a

maximum of 40% of the addresses in the address book is set. Second, a maximum of 33% of the

business addresses in the address book is set. Additionally, a limit is set by the type of file. For

example, for .doc files, a maximum of four addresses is set. In alternate embodiments of the

present invention, other values may be set as maximum limits for all e-mails containing files.

5   [0048]   In addition, for purposes of example, a selection of user designated settings

stored as recipients per message settings is depicted at reference numeral **510**. Recipients per

message settings **506** includes settings associated with all e-mails. In the selection depicted at

reference numeral **510**, three examples of settings are illustrated. First, a maximum limit is set

based on a percentage of the addresses within the address book. Second, a maximum number of

10   recipients that are carbon copy (cc) recipients is set. Third, a maximum number of total

recipients is set. In alternate embodiments of the present invention, other values may be set as

maximum limits for all e-mails.

[0049]   The values set in mitigation settings file **308** may be set by the user or set

remotely by a network administrator or virus detection service. Additionally, virus mitigation

15   controller **302** may monitor the typical use of a particular user and set mitigation settings file **308**

according to that use.

[0050]   Referring now to **Figure 6**, there is depicted a pictorial illustration of an e-mail

with a file attachment to which the present invention is applicable. As illustrated in the example,

20   an e-mail with attachment **600** is composed by Tom Jones to be sent to the e-mail addresses

indicated at reference numeral **602**. In the example, when comparing the e-mail addresses

indicated at reference numeral **602** with the business e-mail addresses indicated by reference

numeral **408** in **Figure 4**, it is apparent that every other e-mail address is included as intended

addressees of e-mail with attachment **600**. E-mail with attachment **600** depicts an example of a

behavior a virus may exhibit by selecting some, but not all of the addresses in an address book.

5   Additionally, e-mail with attachment **600** illustrates an example of a behavior a virus may exhibit

by attaching a file as indicated at reference numeral **604**. Although not depicted, as an alternative

to attaching the file, a virus may embed the file within e-mail with attachment **600**.

[0051]   In response to a user request to send e-mail with attachment **600**, the virus

mitigation controller preferably scans e-mail with attachment **600** to determine if any of the

10   maximum addressing limits are exceeded. First, the virus mitigation controller counts the

number of intended e-mail addresses and other characteristics in the composed e-mail with

attachment **600**. Additionally, the virus mitigation controller may compare the intended e-mail

addresses with the business addresses in the address book to determine the number of business

addresses included in e-mail **600**. Next, the virus mitigation controller compares the number of

15   intended e-mail addresses and other characteristics of the intended e-mail addresses with the

maximum addressing settings. According to the limits set as indicated at reference numeral **508**

of **Figure 5**, the number of intended e-mail addresses exceeds the maximum number of addresses

(2) for a .doc file which is attached, as indicated at reference numeral **604**. Additionally,

according to the limits set as indicated at reference numeral **508** of **Figure 5**, the number of

20   intended e-mail addresses exceeds the maximum percentage (33%) of the business addresses.

Although in the present example the number of intended addresses in e-mail with attachment **600**

does not exceed the limits set per message as indicated at reference numeral **510** of **Figure 5**, in alternate embodiments, e-mail messages with file attachments may exceed both file based and per message based of limits.

5   **[0052]**   With reference now to **Figure 7**, there is depicted a pictorial illustration of an e-mail to which the present invention is applicable. As depicted in the example, an e-mail **700** is composed by Tom Jones to be sent to the e-mail addresses indicated at reference numerals **702** and **704**. In the example, when comparing the e-mail addresses indicated at reference numerals **702** and **704** with the business e-mail addresses indicated at reference numeral **408** of **Figure 4**, it

10   is apparent that all the business e-mail addresses are included as intended addresses of e-mail **700**. E-mail **700** illustrates an example of a behavior a virus may exhibit by sending the e-mail primarily to the sender and then carbon copying the rest of the addresses in the address book. Here, e-mail **700** is sent primarily to the sender, Tom Jones, as indicated at reference numeral **702** and carbon copied to all the business e-mail address.

15   **[0053]**   In response to a user request to send e-mail **700**, the virus mitigation controller preferably scans e-mail **700** to determine if any of the maximum addressing limits are exceeded. First, the virus mitigation controller counts the number of intended e-mail addresses in the composed e-mail **700**. In the example, the characteristics of the intended e-mail addresses include a total count of each of the intended e-mail addresses and a total count of the number of

20   carbon copied e-mail addresses. Next, the virus mitigation controller compares the number of intended e-mail addresses with the maximum address settings. According to the limits set as

indicated at reference numeral **510** of **Figure 5**, the number of cc recipients within intended e-mail addresses exceeds the maximum number of cc recipients (5) indicated at reference numeral **604**.

5   **[0054]**   Referring now to **Figure 8**, there is depicted a pictorial illustration of an authorization window in accordance with the method, system, and program of the present invention. A sender authorization request window **800** or other form of sender authorization request is initiated when the virus mitigation controller determines that the maximum addressing limits are exceeded for an e-mail before it is sent. For example, in response to a request to send

10   the e-mails depicted in **Figures 6** and **7**, an authorization request will be initiated.

[0055]   The additional step of requesting a sender to provide authorization through an additional manual or verbal input before sending the e-mail will aid in mitigating the propagation of e-mail viruses. As an example of such a request, a sender is prompted with a message indicating that the maximum limit is exceeded as indicated at reference numeral **802**. The sender

15   is then prompted to enter a password at entry block **804** to authorize the e-mail. In an alternate embodiment, the sender may only be required to select a button or provide other entry. Further, in an alternate embodiment, the message output to the sender may indicate the specific maximum limit exceeded. Furthermore, in an alternate embodiment a separate request may be made for each limit exceeded.

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[0056]   With reference now to **Figure 9**, there is illustrated a high level logic flowchart

of a process and program for mitigating e-mail virus transmissions in accordance with the method, system, and program of the present invention. As depicted, the process starts at block **900** and thereafter proceeds to block **902**. Block **902** illustrates a determination as to whether a request to send an e-mail is received. The process iterates at block **902** until a request to send an

5   e-mail is received, and then the process passes to block **904**. Block **904** depicts calculating the number of intended recipients. In particular, multiple characteristics of the intended recipients may be calculated, including but not limited to, all intended recipients, all primary intended recipients, all carbon copied intended recipients, all recipient addresses to a particular mail provider, and other categories necessary to calculate for determining whether a maximum limit is

10   exceeded. In addition, if a maximum limit is based on the number of intended recipients whose addresses are also in the address book, then a comparison of the intended recipients and address book will also be required to determine the characteristics of the intended recipients.

[0057]   Next, block **906** depicts a determination as to whether a file is attached or embedded in the e-mail. If a file is attached or embedded in the e-mail, then the process passes

15   to block **907**. In particular, if a file is embedded in an e-mail or copied into an e-mail a flag is preferably set which is later detected at the step in the process depicted by block **906**. Block **907** illustrates comparing the number of intended recipients with the maximum limits for the file, and the process passes to block **908**.

[0058]   Returning to block **906**, if a file is not attached or embedded in the e-mail, then

20   the process passes to block **908**. Block **908** illustrates comparing the number of intended recipients with the maximum limits for a single e-mail. Thereafter, block **910** depicts a

determination as to whether the number of intended recipients exceeds the maximum limits. If

the number of intended recipients does not exceed the maximum limits, then the e-mail as

transferred to the mail daemon as illustrated at block **912**, and the process ends. However, if the

number of intended recipients exceeds the maximum parameters, then the process passes to block

5   **914.**

[0059]   Block **914** depicts requesting a sender authorization to send the e-mail. This

authorization may require the sender to enter a password or to just enter authorize the sending by

a manual input such as a mouse click or a keystroke. Preferably, an input is required that is not

easily fabricated by a virus. Next, block **916** illustrates a determination whether the sender

10   authorized sending the e-mail. If the sender authorizes sending the e-mail, then the process

passes to block **912**. If the sender does not authorize sending the e-mail, then the process passes

to block **918**. Block **918** depicts storing the e-mail. Thereafter, block **920** illustrates alerting the

network administrator that an e-mail has been blocked, and the process ends.

15   [0060]   It is important to note that while the present invention has been described in the

context of a fully functioning data processing system, those of ordinary skill in the art will

appreciate that the processes of the present invention are capable of being distributed in the form

of a computer readable medium of instructions and a variety of forms and that the present

invention applies equally regardless of the particular types of signal bearing media actually used

20   to carry out the distribution. Examples of computer readable media include recordable-type

media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and

transmission-type media, such as digital and analog communications links, wired or wireless

communications links using transmission forms, such as, for example, radio frequency and light

wave transmissions. The computer readable media may take the form of coded formats that are

decoded for actual use in a particular data processing system.

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[0061]   While the invention has been particularly shown and described with reference to

a preferred embodiment, it will be understood by those skilled in the art that various changes in

form and detail may be made therein without departing from the spirit and scope of the invention.